Case No.: 58388US00

32692 Customer Number

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ned Inventor:

OUDERKIRK, ANDREW J.

IPAP incation No.:

10/762678

Group Art Unit:

2814

Filed:

January 20, 2004

Examiner:

Louie, Wai Sing

Title:

PHOSPHOR BASED LIGHT SOURCES HAVING FRONT ILLUMINATION

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 **CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date

Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449. citing an additional reference submitted for consideration by the Examiner. A copy of this reference is enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that the reference has been considered.

The reference, entitled "Inquiry Documents", is a collection of documents relating to an inquiry from an outside party who expressed interest in using 3M's multilayer polymeric UV mirror film in combination with an LED and a phosphor. For reference purposes, consecutive page numbers have been added at the bottom of each page. In the case of the emails from Larry R. Gilbert dated 03/19/2001 and 03/20/2001 (pages 4 and 31), printouts of the respective electronic file attachments are provided. On information and belief, each of the sheets of UV mirror film that were sent in connection with the letter on page 29 and the letter on page 54 was a polymeric multi-layer optical film having alternating coextruded and biaxially stretched layers of PET and co-PMMA and having a single normal-incidence reflection band (measured at halfmaximum) that extended from about 320 nm to an upper wavelength limit that ranged from about 430 nm to about 460 nm, depending on the position on the sheet. Each sheet was flexible, and was made by 3M Company in the United States. The letter on page 54 was sent on or about May 2, 2001, with two sheet of the UV mirror film, about 6x6 inches in size.

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This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

25 July 2005

Date

Stephen C. Jensen, Reg. No.: 35,207

Telephone No.: (651) 736-3369

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified) **Application Number** 10/762678 **Filing Date** January 20, 2004 INFORMATION DISCLOSURE **First Named Inventor** Ouderkirk, Andrew J. **STATEMENT BY APPLICANT** Art Unit 2875 Use a many sheets as necessary) **Examiner Name** Unknown JUL 2 7 2005 Fage 1 of 1

Attorney Case Number

58388US004

PAD BULDE			U.S. Patent I		
Exam. Init.*	Cite No.	Document Number  Doc. Number-(Kind Code if Known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-			

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation
		Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)
	B1						

OTHER DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)		
	C1	Inquiry Documents, 54 pages.			

*Exami	iner:
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**Date Considered:** 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.